## flies $(x) \leftarrow \operatorname{bird}(x) \land \neg \operatorname{penguin}(x)$

 $\mathtt{bird}(\mathrm{Bob}) \leftarrow$ 

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Does Bob fly?

# Fixed-point semantics in logic programming and nonmonotonic reasoning: A uniform approach

#### Pascal Hitzler

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#### Contents

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For semantics based on monotonic operators: characterizations using level mappings.

For semantics based on non-monotonic operators: study of dynamic behaviour using level mappings.

Artificial Intelligence Institute, Dresden University of Technology, Dresden, Germany

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#### Restrictions

We work on ground instantiations of normal logic programs.

Negation symbols may appear in clause bodies.

Essentially, program P is countably infinite set of propositional rules. Herbrand base  $B_P \approx$  set of propositional variables (atoms).

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$$A \leftarrow B_1, \dots, B_n, \neg C_1, \dots, \neg C_m$$
  
 $A \leftarrow \text{body}$ 

(We talk about Prolog only in a very abstract sense.)

### Level mappings

Level mapping  $B_P \to \alpha$  for ordinal  $\alpha$ .  $\omega$  -level mapping  $B_P \to \omega$ .

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- order on atoms
- precedence
- dependence
- distance

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#### Least models

Positive (definite) program P.

There is a unique model M of P for which there exists a level mapping  $l: B_P \to \alpha$  such that for each  $A \in B_P$  with  $M \models A$  there exists  $A \leftarrow \text{body in } P$  with  $M \models \text{body and } l(A) > l(B)$  for each  $B \in \text{body}$ .

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 $M = T_P \uparrow \omega = \text{lfp}(T_P)$  is the least model of P.

$$l(A) = \min\{n \mid A \in T_P \uparrow (n+1)\}.$$

### Stable models

(Fages 1994)

P normal (with negation).

A model M of P is stable if and only if there exists a level mapping  $\mathbf{de} \ \mathbf{6} \ l: B_P \to \alpha$  such that for each  $A \in B_P$  with  $M \models A$  there exists  $A \leftarrow body$  in P with  $M \models body$  and l(A) > l(B) for all  $B \in body^+$ .

body<sup>+</sup>: all atoms occuring positively in body

$$M = \operatorname{GL}_P(M) = T_{P/M} \uparrow \omega = \operatorname{lfp}(T_{P/M}).$$

$$l(A) = \min\{n \mid A \in T_{P/M} \uparrow (n+1)\}.$$

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## Kleene's strong three-valued logic

Thruth values f < u < t,  $\land = \min$ ,  $\lor = \max$ ,  $\neg$  as expected.

Interpretations: consistent signed sets of atoms

$$I = I^+ \dot{\cup} \neg I^- \subseteq B_P \cup \neg B_P.$$

 $I^+$ : true atoms  $I^-$ : false atoms

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Signed: contains atoms and negated atoms. Consistent: does not contain both A and  $\neg A$ .

With order  $I \subseteq K$ : Plotkin's domain  $\mathbb{T}^{\omega}$ 

I-partial level mapping:

partial mapping  $l: B_P \to \alpha$  with  $dom(l) = I^+ \cup I^-$ .

Set  $l(\neg A) = l(A)$ .

### Fitting models

There is a greatest model M of P such that there is an M-partial level mapping l for P such that each  $A \in \text{dom}(l)$  satisfies one of the following conditions.

(Fi)  $A \in M$  and there exists  $A \leftarrow L_1, \dots, L_n$  in P such that for all i we have  $L_i \in M$  and  $l(A) > l(L_i)$ .

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(Fii)  $\neg A \in M$  and for each  $A \leftarrow L_1, \dots, L_n$  in P there exists i with  $\neg L_i \in M$  and  $l(A) > l(L_i)$ .

 $M = \Phi_P \uparrow \alpha = \text{lfp}(\Phi_P)$  Fitting model.

 $l(A) = \min\{\beta \mid A \in \Phi_P \uparrow (\beta + 1)\}.$ 

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### Well-founded models

(Hitzler & Wendt 2002)

Replace

(Fii)  $\neg A \in M$  and for  $A \leftarrow L_1, \dots, L_n$  in P there exists i with  $\neg L_i \in M$  and  $l(A) > l(L_i)$ .

**de 9** by

(WFii)  $\neg A \in M$  and for each  $A \leftarrow A_1, \dots, A_n, \neg B_1, \dots, \neg B_m$  in P one of the following holds:

(WFiia) There exists i with  $\neg A_i \in M$  and  $l(A) \ge l(A_i)$ .

(WFiib) There exists j with  $B_j \in M$  and  $l(A) > l(B_j)$ .

Prevent recursion through negation: Idea behind local stratification.

Weak stratification: Presentation by Matthias Wendt next Wednesday.

## Well-founded models

There is a greatest model M of P such that there is an M-partial level mapping l for P such that each  $A \in \text{dom}(l)$  satisfies one of the following conditions.

(Fi)  $A \in M$  and there exists  $A \leftarrow L_1, \dots, L_n$  in P such that for all i we have  $L_i \in M$  and  $l(A) > l(L_i)$ .

(WFii)  $\neg A \in M$  and for each  $A \leftarrow A_1, \dots, A_n, \neg B_1, \dots, \neg B_m$  in P one of the following holds:

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(WFiia) There exists i with  $\neg A_i \in M$  and  $l(A) \ge l(A_i)$ 

(WFiib) There exists j with  $B_j \in M$  and  $l(A) > l(B_j)$ .

 $M = W_P \uparrow \alpha = lfp(W_P)$  well-founded model.

 $l(A) = \min\{\beta \mid A \in W_P \uparrow (\beta + 1)\}.$ 

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## Well-founded models

stable models:  $M = GL_P(M) = T_{P/M} \uparrow \omega$ .

 $\operatorname{GL}_P$  antitonic,  $\operatorname{GL}_P^2$  monotonic

well-founded model:

 $\operatorname{lfp}\left(\operatorname{GL}_{P}^{2}\right) \cup \neg\operatorname{gfp}\left(\operatorname{GL}_{P}^{2}\right) \qquad = \qquad \operatorname{lfp}\left(\operatorname{GL}_{P}^{2}\right) \cup \neg\operatorname{GL}_{P}\left(\operatorname{lfp}\left(\operatorname{GL}_{P}^{2}\right)\right).$ 

 $L_{\alpha} = \operatorname{GL}_{P}^{2} \uparrow \alpha \qquad G_{\alpha} = \operatorname{GL}_{P}(L_{\alpha}).$ 

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 $l(A) = (\alpha, n)$  with:

For  $A \in \text{lfp}\left(\text{GL}_P^2\right)$ :  $\alpha$  least with  $A \in L_{\alpha+1}$ n least with  $A \in T_{P/G_{\alpha}} \uparrow (n+1)$ .

For  $A \notin \operatorname{gfp} (\operatorname{GL}_P^2)$ :  $\alpha$  least with  $A \notin G_{\beta+1}$ 

 $n = \omega$ .

Supported models

Back to classical (two-valued) logic.

Immediate consequence operator:

 $T_P(I)$  set of all  $A \in B_P$  such that exists  $A \leftarrow \text{body in } P$  with  $I \models \text{body}$ .

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 $T_P$  in general not monotonic.

supported model:  $M = T_P(M)$ .

propagation along  $\leftarrow$ 

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### Related paradigms

logic programs with immediate consequence operator

cellular automata

artificial neural networks

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topological dynamical systems

(see e.g. Blair et al. 1999)

## Acyclic/locally hierarchical programs

P locally hierarchical if  $l: B_P \to \alpha$  for some ordinal  $\alpha$  and for each  $A \leftarrow L_1, \ldots, L_n$  in P:

$$l(A) > l(L_i)$$
 for all  $i$ .

P acyclic if  $l: B_P \to \omega$ .

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Distance function on space  $I_P$  of all interpretations:

$$d(J,K) = \begin{cases} \inf\left\{2^{-\beta} \mid J, K \text{ agree on atoms with level } < \beta\right\} & \text{if } J \neq K \\ 0 & \text{if } J = K. \end{cases}$$

### Acyclic programs

P acyclic:

- ullet d complete ultrametric.
- $T_P$  contraction.
- $\bullet$   $T_P$  has unique fixed point. (Via Banach contraction mapping theorem.)
- ullet P has unique supported model M.

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•  $T_P^n(K) \to M$  in the Cantor topology on  $I_P$  (for all K).

Acyclic programs terminate under SLDNF-resolution with respect to any selection rule. (Bezem 1989)

## Locally hierarchical programs

P locally hierarchical:

- ullet d spherically complete generalized ultrametric. (d maps into poset.)
- **Slide 16**  $T_P$  strictly contracting.
- $\bullet$   $T_P$  has unique fixed point. (Via Priess-Crampe & Ribenboim theorem.)
- ullet P has unique supported model M.
- $T_P^{\alpha}(K) = M$  for some  $\alpha$  via transfinite iteration.

## Acceptable programs

Neg\*\*<sub>p</sub>: atoms occurring negatively in P together with all predicates on which they depend.  $P^-$ : all ground clauses with head in Neg\*\*<sub>p</sub>.

le 17 P is acceptable (with respect to model I and  $l: B_P \to \omega$ ) if I restricted to  $\operatorname{Neg}_P^*$  is a supported model of  $P^-$  and for all  $A \leftarrow L_1, \ldots, L_n$  in P and all  $i \in \{1, \ldots, n\}$ : if  $I \models \bigwedge_{j=1}^{i-1} L_i$  then  $l(A) > l(L_i)$ .

Acceptable programs are left-terminating (and conversely if non-floundering) (Apt & Pedreschi 1994).

## Acceptable programs

For  $K \in I_P$  let K' be K restricted to predicates not in Neg<sub>P</sub><sup>\*</sup>.

$$f: I_P \to \mathbb{N}: K \mapsto \begin{cases} 0 & \text{if } K \subseteq I \\ 2^{-n} & n \text{ least s.t. exists } A \in K \setminus I \text{ with } l(A) = n. \end{cases}$$

 $u(K) = \max\{f(K'), d(K \setminus K', I \setminus I')\}\$ 

$$\varrho(J,K) = \max\{d(J,K), u(J), u(K)\}.$$

 $\varrho$  almost a metric, fails d(K, K) = 0 for all K.  $\varrho$  dislocated metric (Matthews 1986).

Banach theorem carries over (Matthews 1986) with same consequences as for acyclic programs.

Used a priori (partial) knowledge about fixed point.

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## Full knowledge about fixed point

(Hitzler & Seda 2001)

Let  $(X, \tau)$  be a  $T_1$  topological space and  $f: X \to X$  be a function which has a unique fixed point a and such that for each  $x \in X$  we have that  $f^n(x)$  converges to a in  $\tau$ .

Slide 19 Then there exists a function  $d: X \times X \to \mathbb{R}$  such that (X, d) is a complete ultrametric space and such that for all  $x, y \in X$  we have  $d(f(x), f(y)) \leq \frac{1}{2}d(x, y)$ .

In the proof: d is constructed using a.

"Converse" of the Banach contraction mapping theorem.

## Quo Vadis? Fixed-point theorems

Tools have been developed.

Mostly new perspectives on known results.

Slide 20 Are there new applications out there?

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Most of the fixed-point results were joint work.

Matthias Wendt.

Main work in the "well-founded" characterization.

# Quo Vadis? Characterizations via level-mappings

#### Generalize

extended disjunctive etc. programs.

logic programming on posets. (Rounds & Zhang 2001; Hitzler 2002)

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Apply

computation of models (answer set programming).

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Anthony K. Seda, Cork.

Most of the fixed-point results were joint work.

Matthias Wendt.

Main work on the "well-founded" characterization.